


# Evaluating and Refining the Conceptual Model Used in the Study of Health and Activity in Preschool Environments (SHAPES) Intervention

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## Abstract

This study investigated the utility of the Study of Health and Activity in Preschool Environments (SHAPES) conceptual model, which targeted physical activity (PA) behavior in preschool children, by examining the relationship between implementation monitoring data and child PA during the school day. We monitored implementation completeness and fidelity based on multiple elements identified in the conceptual model. Comparing high-implementing, low-implementing, and control groups revealed no association between implementation and outcomes. We performed post hoc analyses, using process data, to refine our conceptual model's depiction of an effective preschool PA-promoting environment. Results suggest that a single component of the original four-component conceptual model, providing opportunities for moderate-to-vigorous physical activity through recess for 4-year-old children in preschool settings, may be a good starting place for increasing moderate-to-vigorous physical activity. Interventions that are implemented with optimal levels of completeness and fidelity are more likely to achieve behavior change if they are based on accurate conceptual models. Examining the mechanisms through which an intervention produces its effects, as articulated in the conceptual model that guides it, is particularly important for environmentally focused interventions because they are guided by emerging frameworks. The results of this study underscore the utility of using implementation monitoring data to examine the conceptual model on which the intervention is based.

## Keywords

conceptual models, implementation monitoring, preschool children, process evaluation

The Study of Health and Activity in Preschool Environments (SHAPES) intervention focused on facilitating changes in preschool environments and instructional practices to create physical activity (PA)-promoting environments to increase PA in preschool children (Howie et al., 2014; Pfeiffer et al., 2013). SHAPES effectively increased moderate-to-vigorous PA (MVPA) in intervention compared with control schools (Pate et al., 2016). SHAPES was a group randomized control trial conducted in 16 preschools in the Southeastern United States, with 8 intervention preschools (Pfeiffer et al., 2013). This process study included the parent study plus a 1-year extension (2008-2011; Howie et al., 2014). The mean age of the 567 children who participated in the three waves of SHAPES over 3 years was 4.5 years. About half (49%) were male; nearly half (47.8%) were African American, 38.3% were White, and 13.9% were classified as “other/mixed” race.

SHAPES aimed to increase MVPA during the school day by creating PA-promoting preschool environments. The PA-promoting environment was defined by the components

of the SHAPES conceptual model: providing PA opportunities via Move Inside, Move Outside, and Move to Learn in the context of a Supportive Social and Physical Environment. For full-day programs, *complete delivery* was defined as 60 minutes of PA opportunity per day. This could be achieved with at least 10 minutes of indoor, noncurricular PA opportunities (Move Inside); at least two 20-minute sessions of recess, including at least two 5-minute sessions of structured activity daily (Move Outside); and at least two 5-minute sessions of active learning (Move to Learn; Howie et al., 2014;

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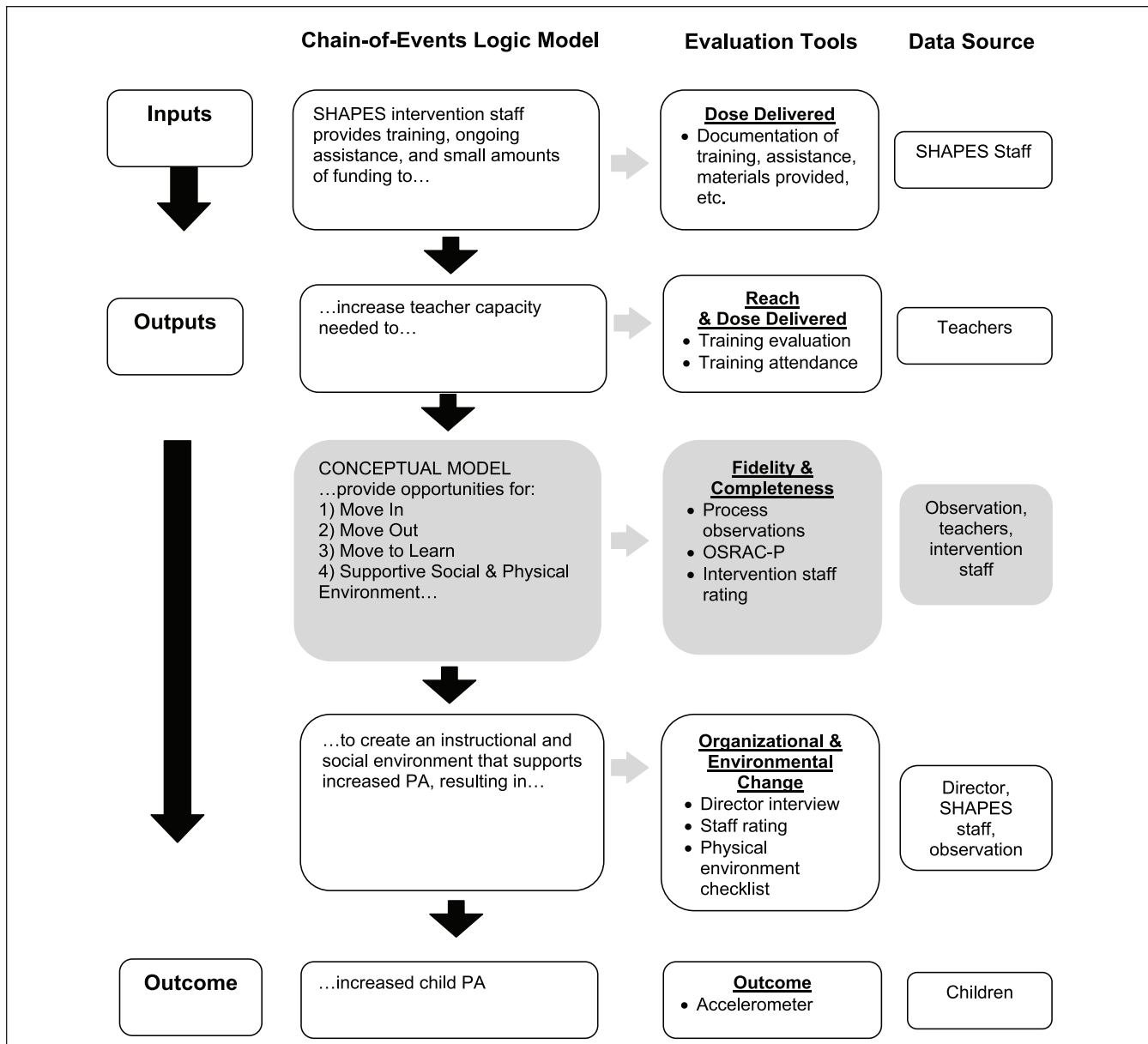
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**Figure 1.** SHAPES process evaluation chain-of-events logic model, measures, and data sources.

Note. SHAPES = Study of Health and Activity in Preschool Environments; OSRAC-P = Observational System for Recording Physical Activity in Children—Preschool Version; PA = physical activity.

Pfeiffer et al., 2013). *High fidelity delivery* was defined as children enjoying PA and engaging in high levels of MVPA within a social environment in which adults modeled and encouraged PA.

The SHAPES chain-of-events logic model incorporated the intervention conceptual model. This model outlined how project activities (inputs) were expected to create a PA-promoting environment (outputs), which would result in greater PA among preschool children (outcomes). It also organized the comprehensive evaluation plan (Cooksy, Gill, & Kelly, 2001; Figure 1). Intervention staff worked with preschool teachers, who in turn operated as organizational change agents (Commers, Gottlieb, & Kok, 2007) and carried

out the intervention (Pfeiffer et al., 2013). As recommended (Durlak & DuPre, 2008), interventionists provided training, site visits, ongoing technical assistance, and resource materials (Howie et al., 2014).

SHAPES implementation was flexible and adaptive striving to maximize PA opportunities throughout the school day (Howie et al., 2014). This approach has been successful in school-based interventions (Bond, Glover, Godfrey, Butler, & Patton, 2001; Patton, Bond, Butler, & Glover, 2003; Ward et al., 2006). Interventionists provided examples and targets for overall PA (300 and 150 minutes/week for full-day and half-day programs, respectively). However, each preschool teacher could achieve the intervention goals in a manner

appropriate to her classroom environment. For example, a teacher might employ different configurations of minutes in Move In, Move Outside, and Move to Learn to achieve the common goal.

The importance of systematically planned, conceptually based interventions (Bartholomew, 2006; Green & Kreuter, 1999) that incorporate multilevel ecological models (Sallis, Owen, & Fisher, 2008; Stokols, 1992) is widely accepted in health promotion (Golden & Earp, 2012). For maximum impact, interventions should address contextual factors at ecological levels beyond the level of the individual (Stokols, 1996). They should also be informed by level-specific theory- and evidence-based strategies (Bartholomew, 2006; McLeroy, Bibeau, Steckler, & Glanz, 1988). Nevertheless, a conceptual model will be an effective guide to intervention planning only to the extent it accurately reflects influences on behavioral outcomes.

If a conceptual model does not address the determinants of behavior, it follows that the intervention based on that model, even if implemented with high fidelity, is unlikely to produce desired outcomes (Astbury & Leeuw, 2010; Chen, 2015). Conceptual models continually evolve based on new evidence. Therefore, the construct validity of conceptual models should be tested (Baranowski & Stables, 2000; Steckler & Linnan, 2002). Process evaluation can be applied to improve theory-based interventions by examining the effects of theory-based components on program outcomes (Baranowski & Stables, 2000; Steckler & Linnan, 2002), though few methods have been developed for conducting this type of examination (Haynes et al., 2016).

In this process evaluation study, we investigate the appropriateness of the conceptual model that guided the SHAPES intervention. The specific purposes of this article are to describe completeness and fidelity of intervention delivery at the classroom level by preschool teachers (Analysis A), examine the relationship between completeness and fidelity and PA outcomes in preschool children based on the conceptual model (Analysis B), and explore alternative conceptual models of a PA-promoting environment in preschools (Analysis C).

## Method

Process evaluation planning was guided by a systematic approach designed to collect quantitative implementation data based on the SHAPES conceptual model (Saunders, 2015). The process evaluation questions, addressed in Analysis A, were “To what extent did the change agents in preschool settings (teachers) provide PA opportunities via the SHAPES intervention components, Move Inside, Move Outside, and Move to Learn (completeness)?” and “To what extent were the components delivered with fidelity (i.e., fun and active within a socially-supportive environment)?” A variety of methods were used to address these questions, including a classroom observation checklist, child PA behavior observation, teacher survey, and interventionist ratings (described below and in Table 1).

## Process Evaluation Instruments and Procedures

The process evaluation methodology differed between Year 1 and Years 2 and 3 of the intervention. Classroom observations in Year 1 were made during selected times over 4 days per semester (fall and spring). In Years 2 and 3, classroom observations were done across an entire single day per semester due to resource constraints. Neither the core intervention components nor the process instruments changed. In all 3 years level of implementation was determined by triangulating among multiple data sources.

**Completeness.** Completeness (i.e., PA opportunities) was assessed via observation of minutes of PA opportunity and teacher self-report for all 3 years. Independent data collectors used the process observation checklist to record the number of minutes of PA opportunities provided across the school day, categorized by intervention component (Move Inside, Move Outside, and Move to Learn). Components could be provided flexibly in brief periods throughout the day, so observations took place over the entire school day. In Year 1, the average of minutes across the 4 fall days and 4 spring days was used to calculate percentage of daily goal met (300 and 150 minutes/week for full-day and half-day programs, respectively). The same procedure was used in the second and third intervention years, except the percentage of daily goal met was based on the average of 1 day of observation in the fall and 1 day in the spring. Two data collectors observed 10% of both the process and Observational System for Recording Physical Activity in Children (OSRAC) observation sessions to assess interrater reliability, which was  $>0.80$  for all categories for both methods.

Completeness also was assessed using a teacher survey, completed by the lead teacher in each classroom in the spring of each year. The teacher survey assessed self-reported frequency and duration of Move Inside, Move Outside, and Move to Learn. A sample item is “Which of the following describes how much time was spent each day, on average, in Move Outside (recess)?” Response options were  $\geq 30$ , 20 to 29, 10 to 19, 0 to 9 minutes; each response was converted to an average number (e.g., 20-29 = 25 minutes). Minutes of opportunity were summed for all components to yield total daily opportunity.

**Fidelity.** Fidelity was assessed in three ways. First, the PA *social environment* (i.e., encouraging and modeling PA) was assessed for each component as a part of classroom observation in Years 1 to 3. When an opportunity was observed (Move In, Move Outside, Move to Learn), a 4-point scale (4 = *all of the time*, 3 = *most of the time*, 2 = *some of the time*, 1 = *none of the time*) was used to rate fidelity of the social environment. A sample item to assess social environment was “At least one teacher or adult staff actively participates in PA with children.” A yearly mean that combined components was calculated. In Years 2 and 3, teachers rated adult modeling of PA with a 3-point scale

**Table 1.** Summary of Process Evaluation Methods.

Characteristic of change model addressed	Year	Data sources	Timing for implementation assessment	Procedures
<i>Completeness:</i> Children have opportunity to obtain MVPA <i>Move Inside:</i> ≥10 min/day <i>Move Outside:</i> ≥ two 20-minute sessions of recess and 5 minutes of structured PA daily <i>Move to Learn:</i> ≥ two 5-minute activities daily	1	Observe intervention implementation	Fall: 4 days Spring: 4 days	Process evaluator used checklist to observe throughout school day; daily mean calculated
	2 and 3	Teachers	Once per year in Spring	Self-completed survey; % weekly goal met calculated
		Observe intervention implementation	Fall: 1 day Spring: 1 day	Process evaluator used checklist to observe throughout school day; daily mean calculated
<i>Fidelity-PA:</i> Children were physically active during opportunity <i>Characteristics of PA Opportunities:</i> ≥50% of opportunity time in MVPA <i>Total PA for whole school day:</i> % time spent in Total PA during school day	2 and 3	Observe classroom level PA at 5-minute intervals	Fall: 1 day Spring: 1 day	Process evaluator used OSRAC-P to observe child PA during intervention components; mean daily % time in PA calculated
	1	Observe classroom level PA at 5-minute intervals	Fall: 4 days Spring: 4 days	Process evaluator used OSRAC-P to observe a subset of children throughout school day; % time in activity calculated
<i>Fidelity-Social environment:</i> Modeling and prompting for PA and enjoyment <i>Social Environment:</i> • Teachers and adult staff verbally encourage PA in children during all PA time • Teachers and adult staff actively participate in PA with children during all PA time <i>Enjoyment:</i> Children enjoy PA	1	Observe intervention implementation	Fall: 4 days Spring: 4 days	Process evaluator used checklist to observe throughout school day; daily mean calculated
	2 and 3	Teachers	Fall: 1 day Spring: 1 day	Self-completed survey; mean % weekly goal met calculated
	2 and 3		Interventionists	
	2 and 3	Observe intervention implementation	Fall: 1 day Spring: 1 day	Process evaluator used checklist to observe throughout school day; daily mean calculated
<i>Overall Implementation</i>	2 and 3	Teachers	Once per year in Spring	Self-completed survey; % weekly goal met calculated
	1-3	Interventionists	Once per year in Spring	Interventionists used rating scale; mean calculated

Note. PA, physical activity; MVPA, moderate-to-vigorous physical activity; OSRAC-P = Observational System for Recording Physical Activity in Children—Preschool Version. Move Inside = adult-led, structured physical activity; Move Outside = recess; Move to Learn = daily lessons; total PA = light + moderate + vigorous physical activity.

(1 = supervise, 2 = encourage the children to be physically active, 3 = encourage and be active with the children) on the teacher survey, and interventionists rated adult support for child PA on a 4-point scale (1 = none of the time, 4 = all of the time) each spring.

Second, the OSRAC-P (Brown et al., 2006) was modified to estimate group-level PA behaviors in all 3 years of the study. The OSRAC-P is a momentary time sampling observational system used to assess young children's PA and associated contextual conditions (Brown et al., 2006). Group-level behaviors were assessed by classroom; a random selection of 6 students was observed for 5 minutes each during each 30-minute observation session. Four to seven 30-minute

observation sessions, each with a different subset of 6 children, were conducted for each classroom on a given observation day. Two hundred seventeen hours of direct observation were collected to assess child PA across the school day, including during SHAPES components. In each year, a yearly average of the percentage of intervals spent in total PA across the school day was calculated. In Years 2 and 3, process forms and the OSRAC-P were completed concurrently such that the percentage of intervals spent in MVPA during Move Inside, Move to Learn, and Move Outside was calculated.

Third, in Years 2 and 3, *child enjoyment* of SHAPES was assessed by a data collector during class observation once in fall and once in spring using a 4-point scale (1 = none of the

time, 4 = *all of the time*) each time a PA opportunity was observed, and by teacher rating with a 4-point scale for each PA opportunity component (1 = *hated it*, 4 = *loved it*) on the teacher survey. A sample item to assess enjoyment is “Most students appeared to enjoy PA.” A yearly mean that combined components was calculated.

**Overall Implementation.** Finally, interventionists rated implementation progress each spring for each intervention component for each of the 3 years using one item with a 4-point scale (4 = *substantial progress*, 3 = *moderate*, 2 = *minimal*, 1 = *no progress*); a single mean for all components was calculated by averaging two ratings (one per interventionist) for each year.

### Child PA Measures: Accelerometer Data

The study was approved by the University of South Carolina Institutional Review Board (Approval Number Pro00004884). Written informed consent was obtained from children’s parents or guardians prior to data collection. The outcome measure for PA was measured by ActiGraph GT1M and GT3X (Pensacola, FL) accelerometers during a 5-day period (Monday to Friday). Measurement procedures have been published previously (Pfeiffer et al., 2013). This analysis used only time during preschool attendance. Days on which a child was present for <50% of the preschool day were excluded, and children with <3 days of monitor wear were excluded. Accelerometer data were reduced using cut-points developed for 3- to 5-year-old children to categorize intervals as MVPA (>420 counts/15-seconds) and total PA ( $\geq 200$  counts/15-seconds; España-Romero, Mitchell, Dowda, O’Neill, & Pate, 2013; Pate, Almeida, McIver, Pfeiffer, & Dowda, 2006). Minutes per hour of MVPA and total PA were calculated, using each child’s wear time during the hours of the school day as the divisor.

### Statistical Analysis

**Analysis A: Process Data: Completeness and Fidelity.** The scores reflecting level of implementation for each data source were organized into a table by teacher/classroom. The criterion for complete implementation was defined as reaching at least 70% of the total PA opportunity goal (for all components combined). For PA fidelity and social environment fidelity, respectively, criteria were defined as children spent  $\geq 20\%$  of time in total PA during 1 school day as measured by OSRAC-P and an average rating  $\geq 3$  on a 1 to 4 rating scale. Thus, multiple data sources were triangulated to assess overall level of implementation each year (Table 2). Classification as “high” implementation in Year 1 required evidence of implementation from at least 4 of 6 (67%) data sources and in Years 2 and 3 from at least 4 of 7 data sources (57%), based on evidence that 60% or higher implementation is associated with desired program outcomes (Durlak & DuPre, 2008).

**Analysis B: Associations Between Completeness and Fidelity, and PA Outcomes Based on Initial Conceptual Model.** Missing MVPA data at follow-up, assessed by accelerometer, were imputed for analysis ( $n = 33$  for Wave 1,  $n = 19$  for Wave 2, and  $n = 22$  for Wave 3) using multiple imputation (data augmentation with Markov Chain Monte Carlo generation of imputed values) in SAS. The intervention and control groups were compared on demographic and PA variables with and without follow-up data. In the control schools, children with missing data at follow-up had higher values for MVPA at baseline than children with complete data.

Classrooms were grouped into implementation category (control, low, and high) based on triangulated process data. A mixed analysis of covariance model was used to compare accelerometer-assessed MVPA minutes per hour among control, low-implementing, and high-implementing classrooms. All analyses were performed using Proc Mixed in SAS, adjusted for baseline, wave (or year), sex, race, parent education, and length of school day, with classroom treated as a random variable. For calculations of  $p$  values, MVPA was square-root transformed.

**Analysis C: Alternate Conceptual Models of the PA-Promoting Environment.** In an intermediate step, we explored correlations between process variables and accelerometer-assessed Total PA for each year separately to assess construct validity of specific variables within the conceptual model. High correlations were considered evidence of construct validity and used to develop an alternate conceptual model. Classrooms were then grouped into low- or high-implementing classrooms based on an alternate conceptualization of the PA-promoting environment for all three waves of data. Mixed analysis of covariance models were used to compare the children in control, low-implementing, and high-implementing classrooms on MVPA.

## Results

### Analysis A: Process Data: Completeness and Fidelity

Table 2 presents an overview of the level of implementation for each classroom/teacher based on multiple data sources (see Supplemental Tables 1-3 for yearly results, available online with this article at [heb.sagepub.com](http://heb.sagepub.com)). For completeness, percentage of goal met in providing PA opportunities was similar in Years 1 and 2 and higher in Year 3 (60%, 53%, 76% for teacher report and 65%, 53%, and 76% for process observation). No preschool met the criterion of 50% MVPA during PA opportunities in Years 2 or 3. PA Fidelity, based on total percentage of OSRAC-observed total PA during the school day, remained around 50% all three years. Social environment fidelity, based on observation, intervention staff rating, and teacher rating, showed a similar pattern to teacher-reported completeness; teacher-reported child enjoyment was high in Years 2 and 3 (88% and 88%). Interventionist rating of overall implementation indicated improved implementation over time

**Table 2. SHAPES Process Results Summary, Implementation by Classroom in Years 1, 2, and 3.**

Essential element category	Dose or completeness						Fidelity-PA						Fidelity-social environment						Overall			Sum											
	PA opportunity observed: % Criteria			PA opportunity teacher: % Weekly goal met			% MVPA During PA Opportunity <sup>a</sup>			% Total PA (LMVPA) for 1 day			Proc obs. staff			Int. staff process			Teach, process			Intervention staff			Overall implementation			Met criteria for higher implementation					
	Year		Year	Year		Year	Year		Year	Year		Year	Year		Year	Year		Year	Year		Year	Year		Year	Year		Year	Year		Year			
Classroom/teacher	1	2	3	1	2	3	1	2	3	1	2	3	1	2	3	1	2	3	1	2	3	1	2	3	1	2	3	1	2	3			
1A			*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*			
1B(y1)/1D(y3)		*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓			
1C	✓			✓			✓			✓			✓			✓			✓			✓			✓			✓					
2A(y1)/2C(y2)	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*			
2B	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓			
3A	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓			
3B	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓			
4A	✓	*	*	✓	*	*	✓	*	*	✓	*	*	✓	*	*	✓	*	*	✓	*	*	✓	*	*	✓	*	*	✓	*	*			
4B	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓			
4C	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓			
5A(y1)/5B(y2,3)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓			
6A	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓			
6B	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓			
7A(y1)/7D(y2,3)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓			
7B	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓			
7C	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓			
8A	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓			
8B	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓	✓	*	✓			
8C	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓			
8D	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓			
% of teachers meeting criteria	65	53	76	60	53	76	0	0	0	50	53	47	35	45	41	65	88	88	45	65	71	35	53	76	35	53	76	35	53	76			
Criteria	≥70%			≥70%			≥50%			≥20%			≥2.5			≥3.0			≥3/5 data sources			≥2/3 data sources			≥3.0			≥4/6			≥4/7		

Note. PA = physical activity; MVPA = moderate-to-vigorous physical activity; LMVA = light plus MVPA. For classrooms, the number indicates the school and the letter indicates the teacher.

✓ = met criteria for implementation; blank space = criteria not met; \* = no classroom that year.

<sup>a</sup>Years 2 and 3 only; based on Move Inside and Move Outside.

**Table 3.** Comparison of Control, Low-Implementing, and High-Implementing Groups on School Day MVPA (minutes/hour), Mean (SE).

	Comparison using triangulated data to define implementation <sup>a</sup>				Comparison using provision of Move Out PA opportunities to define implementation <sup>b</sup>			
	Control	Low implementers	High implementers	<i>p</i>	Control	Low implementers	High implementers	<i>p</i>
	Total sample ( <i>n</i> = 567)				Total sample ( <i>n</i> = 567)			
MVPA	6.8 (0.2)	7.3 (0.3)	7.2 (0.3)	.41	6.8 (0.2)	7.1 (0.3)	7.4 (0.3)	.21
	Males ( <i>n</i> = 278)				Males ( <i>n</i> = 289)			
MVPA	7.5 (0.2)	7.8 (0.3)	7.4 (0.3)	.74	7.6 (0.2)	7.8 (0.4)	7.6 (0.3)	.85
	Females ( <i>n</i> = 289)				Females ( <i>n</i> = 283)			
MVPA	6.1 (0.3)	6.8 (0.4)	6.9 (0.3)	.13	6.1 (0.3)	6.4 (0.3)	7.4 (0.3)	.02

Note. PA = physical activity; MVPA = moderate-to-vigorous physical activity.

<sup>a</sup>Adjusted for wave, sex, race, parent education, and length of school day; *p* value from square root transformed MVPA. <sup>b</sup>Adjusted for baseline, wave, sex, race, parent education, and length of school day; *p* value from log transformed analysis. High implementers different from control and from low implementers; Low and control are not different.

(45%, 65%, and 71%). Based on triangulating data from multiple data sources, 35%, 53%, and 76% of preschool classrooms in Years 1, 2, and 3, respectively, met the implementation criteria. There was variability within schools and within a given classroom across time.

### Analysis B: Associations Between Completeness and Fidelity and Physical Activity Based on Initial Conceptual Model

Comparisons between control, low-implementing, and high-implementing groups based on the initial conceptual model for the PA-promoting environment and accelerometer-derived MVPA among preschool children revealed no significant associations between implementation level and outcomes, although means trended in the expected direction for females, with higher levels of PA for higher compared with lower implementers and lower implementers compared with controls (Table 3).

### Analysis C: Exploring Alternate Conceptualizations of the PA-Promoting Environment

**Correlational Study.** Correlations between accelerometer-assessed total PA during the school day and the elements comprising completeness and fidelity varied (range:  $-.39$  to  $.39$ ), with some items not correlated or correlated in an unexpected direction (see Supplemental Table 4, available online with this article at [heb.sagepub.com](http://heb.sagepub.com)). There was, however, one suggestive pattern: Move Outside (recess) PA opportunity positively and significantly correlated with Total PA during the school day (i.e., teacher-reported in Years 1 and 3 was  $r = .37$  and  $.27$  and process-observed in Years 1, 2, and 3 was  $r = .23$ ,  $.32$ , and  $.39$ ). This suggests that a single-dimension indicator, opportunities for PA through Move Outside (recess), may be a better way to conceptualize a PA-promoting environment. We explored the relationship between this single-dimension indicator of the PA-promoting environment and accelerometer-assessed study outcomes.

**Associations Between Move Outside PA Opportunities and Study Outcomes.** High-implementation of Move Outside, compared with low-implementation and control, was significantly associated with more MVPA in girls but not boys (Table 3). Although not significant, the trend for total sample was also in the expected direction.

## Discussion

We monitored implementation completeness and fidelity based on the elements identified in our four-component conceptual model (providing PA opportunities via Move Inside, Move Outside, and Move to Learn in the context of a Supportive Social and Physical Environment), which was informed by descriptive information (Brown, Pfeiffer, et al., 2009; Pate et al., 2006) and empirical investigations designed to increase PA in preschoolers (e.g., Brown, Googe, McIver, & Rathel, 2009). However, our conceptual model of the PA-promoting preschool environment had not been validated empirically with preschool PA, and comparisons of control, low-implementing, and high-implementing groups revealed no association between implementation and outcomes. Given the positive intervention impact on MVPA (Pate et al., 2016), we performed post hoc analyses with our process measures to refine our conceptual understanding of an effective preschool PA-promoting environment.

The results suggest that a simpler conceptual model with one component, providing increased PA opportunities through Move Outside (recess) in the preschool setting, may be sufficient to increase school day MVPA. Being outdoors has been shown in a review of the literature to be correlated with PA in preschoolers (Hinkley, Crawford, Salmon, Okely, & Hesketh, 2008). However, it is possible that other components of SHAPES contributed in ways not assessed in this study, by influencing teacher norms or motivation to promote PA. Perhaps teachers accepted and practiced providing PA opportunities outdoors versus indoors, since a common convention is to keep children

from moving in the classroom to maintain order. Or perhaps the social environment, in which adults model and encourage PA, could have more impact if it were implemented with higher fidelity.

A simpler conceptual model that is effective is important because changing multiple practices within the preschool setting is challenging. Stakeholders are asked to make difficult, time- and labor-intensive, and sometimes disruptive structural changes and would likely appreciate focused efforts based on an accurate conceptual model that addresses the minimal number of core activities needed to produce beneficial outcomes. Thus, a simple message about increasing PA opportunity outside would likely be easier to support.

SHAPES intervention delivery improved over the 3 years, possibly due to teacher experience, interventionist experience, and/or the time needed for organizational change to take place. As is commonly reported in the literature (Alhassan & Whitt-Glover, 2014; Finch et al., 2014; Herbert et al., 2013), variability in implementation occurred over time for a given teacher and within a given school at any point in time. This variability suggests that classroom- and school-level factors influenced preschool teacher implementation, which we are investigating as a reflection of setting complexity (Craig et al., 2008; Foster-Fishman, Nowell, & Yang, 2007; Hawe, Shiell, & Riley, 2004, 2009).

Additional investigations should focus on identifying the most effective strategies for providing outdoor PA opportunities in preschool settings (Institute of Medicine, 2011; Physical Activity Guidelines for Americans Midcourse Report Subcommittee of the President's Council on Fitness, Sports & Nutrition, 2012) and examining the role of integrated, indoor PA opportunities.

### Study Strengths and Weaknesses

Study strengths include the randomized study design, conceptually based intervention and evaluation approach, structural intervention, and comprehensive process evaluation. However, several limitations should be noted. The OSRAC-P, which has established reliability and validity (Brown et al., 2006; Brown, Googe, et al., 2009; Brown, Pfeiffer, et al., 2009), was modified for this study to observe multiple children's levels of PA, to obtain a group (classroom) level estimate, versus an estimate for a single child for 30 consecutive minutes; however, interrater reliability was good. Process evaluation methodology changed between Years 1 and 2, which affected the ability to directly compare Year 1 with Years 2 and 3. We addressed this by conducting analyses by year and cautiously interpreting the suggestive patterns.

### Implications for Theory and Practice

Practitioners and researchers should develop ecological conceptual models a priori, collect process data to quantify implementation of model-based intervention components, and examine the conceptual model underlying the intervention.

This is important because conceptual models define the mechanisms through which the intervention produces desired outcomes. This study suggests that providing PA opportunities for 4-year-old children in preschool settings through recess may be a starting place for increasing MVPA, though additional exploration is needed. This work contributes to a conceptual understanding of a PA-promoting environment and may facilitate focused and effective change efforts within preschool settings.

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### Supplemental Material

Additional supporting information is available online with this article at [heb.sagepub.com](http://heb.sagepub.com).

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